WeightingFeaturestoRecognize3DPatternsofElec tronDensity inX-rayProteinCrystallography

KreshnaGopal ¹TodD.Romo ²JamesC.Sacchettini ²ThomasR.Ioerger ¹DepartmentofComputerScience,TexasA&MUniversity ²DepartmentofBiochemistry&Biophysics,TexasA&MUniversity ¹{kgopal,ioerger}@cs.tamu.edu ²{tromo,sacchett@tamu.edu}

Abstract

Feature selection and weighting are central problems in pattern recognition and instance-based learning. In this work, we discuss the challenges o fconstructing and weighting features to recognize 3D patterns of electron density to determine protein structures. We present SLIDER, a feature-weighting algorithm that adjusts weights iteratively such tha patterns that match query instances are better rank edthan mismatching ones. Moreover, SLIDER makes judicious choices of weight values to be considered ineachiteration, by examining specific weights at wh ich matching and mismatching patterns switch as nearest neighbors to query instances. This approach reduces the space of weight vectors to be searched. We make the following two main observations: (1) SLIDER efficiently generates weights that contribute significantly in the retrieval of matching electron density patterns; (2) the optimum weight vector is sensitive to the distance metric i.e. feature relev ance canbe, to a certain extent, sensitive to the under lying metricusedtocomparepatterns.

1.Introduction

Defining a suitable measure of similarity is a fundamental requirement of pattern recognition [11] instance-based learning [2], case based reasoning [25, 27] and other machine learning approaches [29]. Cas es or instances are typically compared by a similarity or distance function based on numeric features that characterize the relevant aspects of the instances. Potentially useful features are generally defined b yan expert or extracted by automated techniques [28], a nd a subset of these features are automatically select ed (or weighted), based on relevance to the task at ha nd [3]. The approaches to feature selection and weight ing can be categorized into two major groups: filter methods try to build classifiers that take into acc ount some properties of the features involved, such as correlations, dependencies and other information [2] 3]; the features are considered independently of the induction algorithm. Another paradigm, dubbed wrapper, use part of the data sample to iteratively evaluate the subset of selected features by techniq ues such as cross-validation i.e. the features are sele cted based on the bias of the inductional gorithm [22]. The challenges of defining and determining relevance ar also addressed in [6], which stresses on the need f or studies on difficult data sets, especially with lar ge number of attributes, and where a large proportion of attributesareirrelevant.

In this work, we focus on SLIDER [17, 13], a filter approach that uses the following measure to evaluatetheoptimalityofasetofweights:given atest instance, we look at how well the weighted features rank a known similar instance, relative to a set of knowndifferentones. Another centralideain SLIDE R is that evaluation is done for very specific weight where there is a switch in neighbors between a test region, its match and its mismatch. These "cross-ov er" weights are the ones which will influence accuracy of matching the most. Thus, by limiting the space of weights to be searched, and identifying the weights that make a significant difference, the efficiency and effectiveness of learning are largely ensured. In o ur empirical analysis, we compare different weighting schemes - uniform (all features are selected, and weighted equally), binary (feature weights can be either0or1) and continuous weights, where the la tter two are derived from the output of the SLIDER algorithm. We also analyze the sensitivity of the weighting methods to different distance measures. I this work, we look at the Minkowsky family of distance metrics (of order 1, 2 and 3) i.e. Manhatt an (L_1) , Euclidean (L_2) and L_3 .

Our empirical investigation is in the domain of protein crystallography, where 3D patterns in an *electron density map* have to be recognized and fitted

withmolecular structural components (or amino acid s) to determine the structure of a protein macromolecu le (Figure 1). TEXTALTM [21] is a system that automates this process of electron density map interpretation; it uses nearest neighbor learning [12] and case-based reasoning to recognize patterns of electron density (in small spherical regions in a density map) by comparing them to known solved patterns stored in a case-base. Good matches are retrieved and assembled together to create a protei n model, guided by knowledge of the domain, either explicitly stated (like typical stereo-chemical constraints of proteins) or implicitly encoded in t solvedcases.

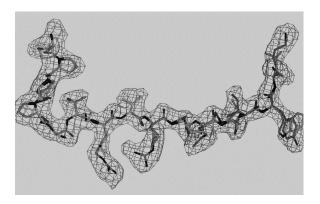


Figure 1. Aportion of an electron density map which shows the contours of the intensity of electrons around that part of the protein. The correct structure (atoms and bonds) has been fitted in this density map.

The rest of this paper is organized in sections which discuss the following: (1) the significance a nd challenges of the protein crystallography domain, a nd an overview of the TEXTALTM system; (2) the methods that TEXTALTM uses to efficiently compare and retrieve matching cases from a (large) case-ba se; (3)thefeaturesthatexpertsdefinedinthisdomai n;(4)the SLIDER algorithm; (5) empirical results on the weightsreturnedbySLIDERandtheireffectiveness finding matching density patterns, for the three Minkowsky distance metrics; (6) analysis of the results.limitationsandfuturework.

2.Proteincrystallography&TEXTALTM

The *structural genomics* initiative is a worldwide effort to determine the structure of all proteins in a high-throughput mode [8,31]. This is motivated by the very rapid growth in the number of genomic sequences being discovered, since knowledge of the

structure of proteins would shed light on the importance of genomic sequence regions, how the protein functions, and how drugs can be designed to effectively interact with proteins. Thus there has been a growing demand for high-throughput computational methods for protein structure determination, includ ing rapid interpretation of electron density maps in Xray crystallography. A density map is generated by the Fourier transformation of diffraction patterns obta ined when X-rays are shone on the crystal of the protein Interpreting a map essentially involves fitting kno wn molecular structures known as amino acids into the density(Figures 1 and 2); there are 20 types of am ino acids in nature, and proteins are essentially chain typically 100-1000 amino acids that fold in complex 3D conformations. Maps are usually interpreted by crystallographers, with the help of visualization programs. The process can be laborious and challenging, especially since the map can be of poo quality (noisy and low resolution). There is also significant subjectivity in model building [30]; th difficulties in interpreting electron density are a discussedin[32].

One of the major difficulties is the fact that, to generate a density map, we need the intensity as we as phase information of diffracted patterns. But the phasescannotbe experimentally determined, and have to be approximated by other means. This is known as the *phase problem*; thus the crystallographer has to go through various cycles of (1) interpret inaccurate maps, (2) improve phases from the model built, (3) use improved phases to generate better maps, which can be re-interpreted.

TEXTALTM aims at automating this process of solving an electron density map, thereby saving up to weeks of effort required by an expert crystallograp hy to interpret one map, especially if it is noisy and blurred. Given an unsolved map of a protein in XPLOR [7] format, TEXTALTM first identifies the positions of special carbon atoms (called C a's) which lie roughly at the center of each amino acid. This achieved by a sub-system called CAPRA, or C-Alpha Pattern Recognition Algorithm [20]. Then spherical regions (of 5Å diameter) around each C α are looked at; they are characterized by a set of numeric feat which are used to find matches from a case-base of solved patterns. These fragments of pre-determined structures are retrieved, and a macromolecular mode is gradually built, by fitting the fragments togeth er, subject to many constraints e.g. on bond lengths an d angles. The model is also improved by aligning the sequence of amino acids obtained with the known sequence [34]; further refinement is done by moving theatomsslightlytoimprovethefitwiththedens itythisprocessisknownasrealspacerefinement[9]. The

TEXTALTM system is much larger is scope; for more details, refer to [21, 20, 17, 13] and http://textal.tamu.edu:12321. TEXTALTM is also a component of PHENIX (http://www.phenixonline.org) [1], an integrated crystallographic computingenvironment.

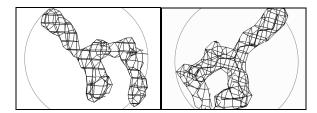


Figure 2. The pattern on the left represents a spherical region of unsolved electron density. An expert crystallographer would recognize the shape (with the help of a 3D visualization program) and model this region of the protein i.e. determine the positions of the atoms, and how they bond together (as shown in the pattern on the right). These two patterns are, in fact, identical but oriented differently in 3D space. Thus, rotation-invariant features are required to represent them.

Inthispaper, we focus on the choice of featurest or present spherical regions of density patterns, and the determination of their weights for various distance functions that can be used to compare and retrieve the regions, based on k-nearest neighbor learning [12]. Before discussing the features and their weights, we first describe the general approach that TEXTALTM uses to efficiently retrieve cases from a database.

$3.Efficient case retrieval in TEXTAL^{TM}$

Case-based reasoning systems typically need a largedatabaseofcasesforwideproblemcoveragea nd highquality solutions. But large case-bases may ca degradation in efficiency, especially if the case matchingfunctiontodeterminesimilaritybetweent wo cases is expensive [35]. TEXTALTM shares these challenges with many other case-based reasoning systems; in fact, given an unsolved spherical query pattern of electron density (q), the distance betwe and each case c_i in the case-base can be determined, and the most similar (smallest distance) can be returned as the best match. One metric that can be used is the *density correlation* between q and c i's, which computes the optimal superposition between two patterns. Since the number of possible 3D rotations is very large, this metric is too expensi ve, which we cannot afford to run over the whole casebase (of \sim 50,000 regions). Thus, we use an approximate, inexpensive, feature-based distance metric to filter a small number (say k=500) of potential matches, and the density correlation procedure then makes the final ranking. In [15], we evaluate and compare various feature-based distance metrics for this approach, and argue in the favor o statistical and probabilistic measures as compared to geometric distances (like Euclidean).

It should be noted that a good match need not be the absolute best one according to the objective metric; it can be the top few matches (based on a tolerance on how high we wish the density correlati on value to be to qualify for being a match). Given a querypattern, our aimistotry to get at least on egood match (anywhere) in the top k, since the expensive objective will re-rank the top k matches, and ident ify the truly good ones. In [14], we discuss the effectiveness of this filtering scheme and how it dependsontheleveloftoleranceofmatching.Wea lso discuss how the value of k is chosen, based on a lo SS function that represents the extent to which the fa st feature-based distance measure approximates the objectivedensitycorrelationmetric.

4.FeaturesinTEXTALTM

In TEXTALTM, the features used to characterize spherical regions of electron density patterns have been manually designed by domain experts. One important restriction is that the features have to be rotation-invariant, since patterns to be compared c an occur in any 3D orientation. Four classes of featur have been defined (Table 1): (1) statistical features like mean, standard deviation, skewness and kurtosi of electron density distribution for a set of grid points in the spherical region; (2) features based on moments of inertia, where the inertia matrix is computed, and various ratios of eigenvalues for the three mutuall perpendicular moments of inertia are defined as features; (3) a feature that captures how symmetric or balanced the region is, based on the distance of ea ch grid point within the pattern to its center of mass ;(4) featuresthatrevealthe *shape* ofthepattern-typically anaminoacidhavethree"spokes"emanatingfromi Cα; these spokes are identified, and various features are calculated based on the angles between these

Furthermore, for each region, we calculate these features at 4 different radii (3, 4, 5 and 6 Å); th is is necessary since amino acids vary in shapes and size s, and each feature captures slightly different information for different sizes. Thus, the total nu mber offeatures that we use is 19*4=76.

Table 1. Definition of features used to describe sp featuresaregroupedinto4classes; each featureh 4,5 and 6Å, where 1Å=10 -10 m).

herical density patterns in TEXTAL $^{\text{TM}}$. The as4versionsfordifferentradiiofthesphere(3,

Featureclass	Descriptionoffeature	Method of comp utation (ρ _i is the electron
	_	density value at the i th of n grid points in a
		region)
Statistical	Mean	$\rho=(1/n) \Sigma \rho_i$
	Standarddeviation	$[(1/n) \Sigma(\rho_i - \rho)^2]^{1/2}$
	Skewness	$[(1/n) \Sigma (\rho_i - \rho)^3]^{1/3}$
	Kurtosis	$[(1/n) \Sigma (\rho_i - \rho)^4]^{1/4}$
Momentsof	Magnitudeofprimarymoment	
Inertia	Magnitudeofsecondarymoment	
	Magnitudeoftertiarymoment	Compute inertia matrix, diagolize & sort
	Ratioofprimarytosecondarymoment	eigenvales.
	Ratioofprimarytotertiarymoment	
	Ratioofsecondarytotertiarymoment	
Symmetry	Distancetocenterofmass	$ \langle x _{c}, y_{c}, z_{c} \rangle $, where $x_{c} = (1/n) \sum x_{i} \rho_{i}$,
		$y_c=(1/n) \sum y_i \rho_i, z_c=(1/n) \sum z_i \rho_i,$
Shape	Minimumanglebetweenspokes	
	Maximumanglebetweenspokes	
	Mediananglebetweenspokes	Find3"spokes"i.e.3distinctvectorswithhighes t
	Sumofspokeangles	density summation, and compute angle min, max,
	Radialsumoffirstspoke	median,sum,etc.
	Radialsumofsecondspoke	
	Radialsumofthirdspoke	
	Spoketrianglearea	

5.TheSLIDERalgorithm

InthissectionwedescribetheSLIDERalgorithm to optimize weights for the Minkowsky family of distance metrics. We first focus on two-component mixtures (i.e. involving two features, where their weightssumupto1) and then extendit to an arbit rary number of features. The weighted Minkowsky distance of order n between two patterns x and y, using two features iand jis defined as:

$$D_{i,j}(x,\!y)\!\!=\!\!(w \quad _{i}\!|x_{i}\!\!-\!\!y_{i}|^{n}\!\!+\!\!w_{j}\!|x_{j}\!\!-\!\!y_{j}|^{n})^{1/n}$$

 $\begin{array}{ll} Ifn = 1, we get the Manhattan distance; ifn = 2, & the \\ metric is called Euclidean, and in general it is kn & own \\ as the Minkowsky distance of order n. We can drop \\ the n ^{th} root, since it is a monotonic transformation. \\ Thus D _{i,j} is re-defined as: \end{array}$

$$\begin{split} D_{i,j}(x,y) &= w \qquad {}_{i}|x_{i} - y \ {}_{i}|^{n} + w \ {}_{j}|x_{j} - y \ {}_{j}|^{n} \\ &= (1 - w)|x \qquad {}_{i} - y \ {}_{i}|^{n} + w|x \ {}_{j} - y \ {}_{j}|^{n} \end{split}$$

where w is set to w j, the weight of feature j. One approachtoapproximatetheoptimalpairofweights is touseatestsettoexhaustivelyevaluateaccur acyfor

various pairs of weights defined over a grid, such as {0.0,0.1,0.2,...,1.0}. This method is inefficient is limited by the coarseness of the grid sampling. SLIDER proposes a more efficient approach.

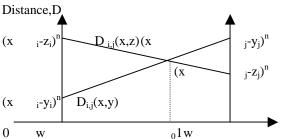
Consider an instance x that has y as its closest neighbor according to f_i , and z as its closest neighbor according to f_j i.e. the nearest neighbor of x is y when w=0, and it is z when w=1 (w is the weight of featurej). The point at which the $D_{i,j}(x,y)=D_{i,j}(x,z)$ is given by:

$$(1-w)|x$$
 $-y_{i}|^{n}+w|x_{j}-y_{j}|^{n}$
= $(1-w)|x_{i}-z_{i}|^{n}+w|x_{j}-z_{j}|^{n}$

Solvingforw, and setting it tow 0, we get:

In other words, if wis "slided" from 0 to 1, there is a weight w $_0$ at which D $_{i,j}(x,y) = D$ $_{i,j}(x,z)$; this point is called a "cross-over", which in fact, is a weigh tat which there is a netincrease (or decrease) in accuracy, depending on which of y and z is truly closer to x

(Figure 3). When there is an increase in accuracy, the cross-over is referred to as positive, and negative otherwise. It should be noted that not all 3-tuple of instances will have a cross-over for a given pair o f features.



j, slides Figure 3. As the weight of feature j, w from 0 to 1, the Minkowsky distance between xandy[D i,i(x,y)]changesfromlesstogreater than that between x and z [D $_{i,i}(x,z)$]. The "cross-over" occurs at w 0 i.e. there is a change in accuracy of prediction at w depending on whether y or z is truly more similartox.

Crossover points can also be determined by considering two subsets of features (instead of jus ttwo features). Consider two feature subsets A and B, wi corresponding Minkowsky distances D A and D B respectively. A composite metric, D A+B, can be defined as D_{A+B} $(x,y) = \lambda D_A(x,y) + (1 - \lambda) D_B(x,y)$. As λ is slided from 0 to 1, it may cause a switch of neighbors for three instances, as described earlier Thus λ can be used to determine the new weight vector that increases accuracy, based on crossover points. Currently, SLIDER randomly chooses one feature (set A) and evaluates it against all remain ing features (set B). The approach can be extended to comparefeaturesetsofarbitrarysizeandcomposit ion.

The key idea behind SLIDER is to determine the cross-overs of many examples sliding over the weigh t of one feature at a time and determine the "optimum weight value at which the overall accuracy increase s the most. SLIDER uses a greedy approach [33] to iterativelychoosea(random)feature,adjustitsw eight based on the above criterion, and stops when there is nonetincreaseinaccuracy.

Onceallcross-overpointsaredetermined, we find the optimum weight (of the randomly chosen feature) which maximizes the difference between the number of positive cross-overs and negative cross-overs. T his is done by first sorting the cross-over weights and initializing an accumulator to 0; we then sweep through the sorted list of weights, incrementing th e accumulator when a positive cross-over is encountered, and decrementing the accumulator for

every negative cross-over. The weight at which the accumulator reaches its peak is returned as the optimumweight.

The test procedure that we use to evaluate whether overall accuracy has improved by updating the weights is as follows: we define a test set S o pattern instances, and for each instance we find a match (high density correlation), and a set of mismatches (average or low density correlation). Given a weight vector and an instance, we compute the distance of that instance to the known match an mismatches; the rank of the match relative to the mismatches gives an estimate of the optimality of t he weights. Given a weight vector w, a test set S of m cases, and for each case 1 match and n mismatches, we define the Ranking Consistency of w, RC(w) as follows:

d

RC(w)=1/m
$$\sum_{i} [n-rank(i)](2)$$

where rank (i) is the rank of the match of i (relati veto all n mismatches); note that lower rank implies mor similar to the query pattern (i.e. the match should ideallyhaverank=1).

The SLIDER algorithm is given in Figure 4. It should be noted that our objective function in this problem is a continuous metric (density correlation). But this approach can be extended to handle classificationproblemsaswell.

6.Results

SLIDER was used to optimize the weights independently for Manhattan (L_1) , Euclidean (L_2) and Minkowskydistanceoforder3(L ₃).76featureswere used, as described earlier. The weight vector in ev ery iterationisevaluatedthroughRankingConsistency for a test set of various sizes (typically 500); for ea ch query pattern in the test set, one match and 200 mismatches are pre-determined by the calculation of density correlation. The training examples were dra wn from "ideal", artificially generated maps of protei ns with known structures obtained from PDBSelect [16] (or http://www.cmbi.kun.nl/gv/pdbsel), a subset of the PDBdatabase(http://www.rcsb.org/pdb)[5].

To evaluate the effectiveness of SLIDER in determining a final set of appropriate global weigh ts for the filtering scheme described earlier, we use the following test procedure: we chose 200 regions that evenlycoverthe20differenttypesofaminoacids; the regions were obtained from a case-base generated from ~200 proteins from PDBSelect. For each test region, we exhaustively searched the case-base (of ~50,000 regions) to find their true, objective ma tches

```
Inputs:1.TestsetS = \{S
                           _{1},...,S_{m};
                       _{i},amatchM _{i}&nmismatchesN _{i,j},1 \leqi \leqm,1 \leqj \leqn;
2.ForeachS
3.Ffeatures.
Output:Optimizedweightvectorw=<w
                                             _{1},w_{2},...,w_{F}>
for eachfeaturef i
weightoffeaturei,w
                          _{i} \leftarrow 1/F//initializew
                                                    'suniformlys.t. \Sigma w_i = 1
repeat
selectfeature frandomly
Findallcross-overpointsforS, bysolvingl
                                                   inearequations(1)//i.e.byslidingw
                                                                                              from0to1
                                         f*//Theweightthatmaximizesthedifferencebetw
Findthe"optimum"weightof f, w
                                                                                                  een+ve&-ve
                                   cross-overs
    f \leftarrow W f^*
                              _{i} \leftarrow w_{i} + (w_{f} - w_{f}^{*})w_{i}/\sum w_{k}, k \neq f//Otherweightsareproportionallyadjusted
   forallfeaturesi,i \neq f,w
                                                                      (accordingtoweight)s.t.allweightsaddupt
                                                                                                                           o1
FindRankingConsistencyofw,RC(w)using(2)
untilnumberofiterationsexceedsathresholdandRC(w
                                                               )doesnotimprove
return w
```

Figure 4. The SLIDER algorithm.

(based on density correlation); then we use the weighted feature-based distance metrics to rank all the ~50,000 regions according to similarity, and find utif the feature-based metric manages to "catch" a good match in the topk

Figures 5 and 6 compare the weights returned by SLIDER for the three Minkowsky metrics. For all three, between 25 and 30 features (out of 76) are selectedi.e.thosefeatureswithweightsnon-negli gibly greater than 0. Moreover, there is strong tendency to choose the same features, and even weigh them similarly. There are 28 features for which all thre e metrics have yielded zero weight. It should be note d that when different features are chosen, they often are very similar, in two ways: (1) they are closely rel ated e.g. standard deviation, skewness and kurtosis; (2) it may be the same feature (like mean density) but at differentradii.

Figure 5 tries to capture this concordance in returned weights, by first sorting the features bas edon radius and then grouping them on identity (such tha trelated features are as contiguous as possible). Fi gure 6 groups features the other way round i.e. for each feature, the four radii at which they are calculate dare shown, sorted in ascending order. The weights have been linearly graded on a 5-level scale, where the darker the shade, the higher the weight.

We make the following remarks on the feature weightscomputedbySLIDER:

- The consistency in features selected (and weighted) across the three metrics shows that the algorithm converges. But the risk of local minima still exists; this is partially addressed by the randomized choice of feature in each iteration.
- Table2showsthesumofweightsforeachradius; we can again observe significant similarity of weights for the three metrics. Furthermore, we cannotethatthetotalweightsforradius3Åist he maximum, and total weights for radius 6 Å is the minimum; these observations are intuitive – the 3Dspherical patterns are expected to cover a mino acids of various shapes and sizes, which justifies thechoiceoffeature values at different radii. At 3 Å radius, we expect that the pattern to be significantly characterized, although inadequately since some large amino acids may not be totally encapsulated in the sphere. But at 6 Å, we face the problem of having noise due to density of neighboring residues; this trend is captured by our weight optimization algorithm. Furthermore, manyfeaturesseemtobeparticularlyrelevantat5 Å, including average density, ratios of moments ofinertiaandsumofspokeangles.

L ₁	L_2	L ₃
RADIUS=3		
RADIUS=4		
DADILIO 5		
RADIUS=5		
RADIUS=6		

L ₁	L ₂	L_3
AVERAGEDE	INSITY	
STANDARDD	EVIATION	
SKEWNESS		
KURTOSIS		
MOMENTSO	FINERTIA	
RATIOSOFM	OMENTSOFIN	IERTIA
DISTANCETO	OCENTEROF	MASS
		/ASS
DISTANCETO		MASS
	OKEANGLE	MASS
MAXIMUMSF	OKEANGLE	MASS
MAXIMUMSP MEDIANSPO MINIMUMSP	OKEANGLE KEANGLE OKEANGLE	
MAXIMUMSP MEDIANSPO MINIMUMSP	OKEANGLE	
MAXIMUMSP MEDIANSPO MINIMUMSP	OKEANGLE KEANGLE OKEANGLE	
MAXIMUMSP MEDIANSPO MINIMUMSP	OKEANGLE KEANGLE OKEANGLE	
MAXIMUMSPO MEDIANSPO MINIMUMSPO SUMOFSPOR	OKEANGLE KEANGLE OKEANGLE (EANGLES&R	
MAXIMUMSP MEDIANSPO MINIMUMSP	OKEANGLE KEANGLE OKEANGLE (EANGLES&R	

Figures 5 and 6. The relative weights of 76 feature s returned by SLIDER for L $_1$ (Manhattan), L $_2$ (Euclidean), and L $_3$ are shown. In Figure 5 (left), the features are first sorted on radius (in Å), and then on identity, such that related weights are consorted on identity and the nonradius (in ascending order, from top to bottom). Darker the shade, higher is the weight. The white cells represent feature sorted order, from top to bottom). Darker the shade, tures with zeroweight.

Table 2. Sum of all 19 feature weights, independentlyfor4differentradii.

Radiusin Å	Sumofweightsforthree Minkowskymetrics			
$(1Å=10^{-10}m)$	Manhattan	Euclidean	L 3	
3	.36	.35	.36	
4	.22	.20	.16	
5	.28	.27	.34	
6	.14	.18	.14	

• The individual moments of inertia seem irrelevant; but their ratios provide more informationrelated to the shape of the density pattern (e.g. spherical, ellipsoidal, etc.). This exemplifies the feature interaction problem [19], where several features may not appear relevant on an individual basis, but when looked at in combination, they contribute significantly to the description of the pattern.

The strong similarity of weights across the threemetricislargelyexpected.Someweightsare relevant, irrespective of the underlying metric. Nonetheless, there are differences, interestingly, these differences do capture the sensitivity of "optimum" weights to the metric being used. Figures 7-9 show the percentage of times the three Minkowsky metrics manage to catchatleastonegoodmatchwithinthetopk, for various values of k. We can observe that both feature selection (binary weights) and feature weighting (continuous weights) improve over uniform weights (all 76 features equally weighted). Furthermore, continuous weights improve on binary weights for all three metrics. The differences among the three weighting schemes are more marked for Manhattan, a little less so for Euclidean, and even lesser for L 3. In [15], we observed that, regardless of the weights, Manhattan distance is a better metric than Euclidean, which is better than L 3. This seems to suggest that the better the metric, the more sensitive it is to the weights. An important point to note is that, given a distance metric, using continuous weights does not improve pattern matching (as compared to binary weights) if the weights used are those optimized for another metric e.g. the weights determined by SLIDER for Euclidean will not make continuous weights outperformbinaryweightsforManhattan.

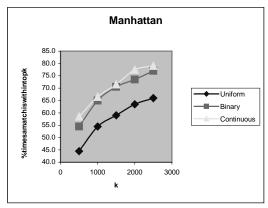


Figure 7. The % of test cases where a matchis "caught" intopkfor Manhattan.

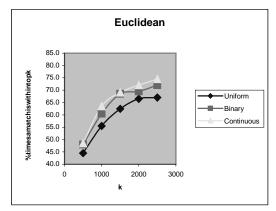


Figure 8. The % of test cases where a matchis "caught" intopkfor Euclidean.

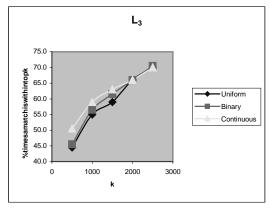


Figure 9. The % of test cases where a match is "caught" for L $_3$: the improvements with weighting is less for L $_3$.

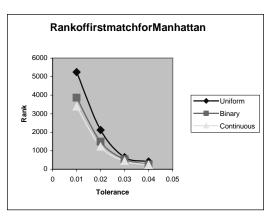


Figure 10. The rank of first "good match" for various levels of tolerance.

There are other possible ways of assessing the effectiveness of retrieval. For instance, Figur e 10 shows how the Manhattan metrics rank a true "match" – a case is a match to a query if their density correlation is within a *tolerance* of the correlation between the query and its absolute best match. Figure 10 shows the rank for 4 levels of tolerance between .01 and .04, where density correlation lies between 0 and 1, the latter corresponding to a perfect match. Similar results are obtained for Euclidean and L 3 (not shown).

7.Discussion

The SLIDER system has been successfully applied to determine the weights of features for the complex problem of recognizing patterns of electron density for finding the structure of proteins. Butthetechniques employed are general and potentially useful in other domains, especially those with high-dimensional, noisy data. The salient aspects of our approachare:

SLIDER is a filter method which avoids searching a large space of possible weight vectors. Instead, the evaluation is performed atweightvalueswhichmatteri.e.wherethere is a marked change in accuracy of matching. Furthermore, locating these weight values can be done efficiently, since it involves solving linear equations applicable to many metrics (like the Euclidean distance). The benefits of restricting the number of weights searched and used for nearest neighbor classification are emphasized in the DIET system [24]; the latter also argue that there are probably no benefits in using weights beyond two possible values (0 and 1) - but the SILDER algorithm does manage in

- computing finer weight values that improve matchingandcaseretrieval.
- SLIDER was used to optimize weights for three Minkowsky distance metrics, and proved to be successful in improving pattern matching and retrieval, in the context of a case-based reasoning and nearest-neighbor strategy to efficiently retrieve matches. The weights as determined by SLIDER were largely similar for the various metrics; nonetheless, the slight differences were significant in capturing the sensitivity of relevance to the distance metric being used. We argue that the relevance of features in describing a pattern is not absolute; it depends on how the features are used to determine similarity, especially similarity itself is often a fuzzy concept, with imprecisewaysofdeterminingit.

As future work, we are currently looking at the following issues, where there is considerable scopeforimprovementandinvestigation:

- SLIDER is currently limited to distance metrics for which cross-overs weights can be calculated by solving simple linear equations. This may not be possible for other metrics, like those based on probabilistic and statistical methods [4, 26, 15]. We are currently investigating methods where cross-overpoints for such metrics can be efficiently determined (by binary search over the space of weights, for instance).
- One aspect which probably necessitates closer scrutiny is the definition of matches and mismatches to assess if the updated weights improve accuracy. We use a simple strategy where two patterns are said to match/mismatchiftheirdensitycorrelationin above/below a threshold. We observed that final set weights returned by SLIDER is sensitive to this threshold. What would be anappropriate threshold, and how can it be determined? Or is there a better way of assessing similarity in this context? Should we use "perfect" matches/mismatches in our training set, or do we need to allow for nearmatches/mismatches as well, which will enable us capture the nuances in the informationthatisrequiredtoconfidentlysay howdifferenttwoinstances are?
- Moregenerally, weareals oworking on other strategies to weight features, including analyzing the sensitivity of feature relevance to the context [10,18] and methods based on Single Value Decomposition (SVD) and Principal Component Analysis (PCA).

8. References

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